DARWIN INITIATIVE FOR THE SURVIVAL OF SPECIES: APPLICATION FOR GRANT FOR ROUND 10 COMPETITION

DEFRA

Department for
Environment,
Food & Rural Affairs

Please read the accompanying Guidance Note before completing this form. Give a full answer to each section; applications will be considered on the basis of information submitted on this form. Applicants are asked not to use the form supplied to cross-refer to information in separate documents except where this is invited on the form. The space provided indicates the level of detail required but you may provide additional information on a separate sheet if necessary. Copies of this form are available on disk or by e-mail on request. You are asked also to complete the summary sheet. Although you may reproduce this sheet in a reasonable font, you should not expand it beyond an A4 sheet (leaving the allocated space for DEFRA comments to be made) as additional information will not be taken into account.

1. Name and address of organisation

THE DURRELL INSTITUTE OF CONSERVATION AND ECOLOGY (DICE), UNIVERSITY OF KENT, CANTERBURY, KENT, CT2 7NS

2. Principals in project

Details	Project leader	Other UK personnel (if working more than 50% of their time on project)	Main project partner or co- ordinator in host country
Surname	GRIFFITHS		GRAUE WIECHERS
Forename(s)	Richard Alun		Virginia
Post held	Senior Lecturer		Associate Professor
Institution (if different to above)			Universidad Autonoma Metropolitana
Department	DICE		CIBAC/Unidad Xochimilco
Telephone			
Fax			
Email			

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Please provide a one page CV for each of these named individuals.

3. Project title (not exceeding 10 words)

AZTECS AND AXOLOTLS: INTEGRATING CONSERVATION AND TOURISM AT XOCHIMILCO, MEXICO

4. Abstract of study (in no more than 750 characters)

The Mexican axolotl - an icon of Aztec heritage - is nearly extinct in its last habitat - the remnant canals of the once extensive Lake Xochimilco, in Mexico City. This area suffers from unsustainable development pressure, pollution, poverty and unemployment, yet is a popular recreation destination for both local people and international tourists. Using the axolotl as a flagship species, this project will assist the Universidad Autonoma Mexicana to (1) execute an axolotl recovery programme; (2) establish an information and education centre; and (3) increase local incomes by training local boatmen as nature tourism guides. It will pursue these goals by initially training a Mexican student to MSc level in Tourism and Conservation, who will then develop a nature tourism programme in conjunction with local boatmen and other students from UAM. The project will be supported by two in situ workshops by DICE staff and will conclude with an international seminar and Species/Habitat Action Plan.

DEFRA 4.9.01

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5. Timing. Give the proposed starting date and duration of the project.

1 May 2002 for three years.

6. Describe briefly the aims, activities and achievements of your organisation. (Please note that this should describe your unit, institute or department within a university.)

Aims

To integrate international conservation and development sustainably by combining natural and social sciences in designing measures to help conserve biological diversity.

Activities

The pursuit of research, training, advice, implementation and international partnership, with particular emphasis on building capacity in developing countries that are rich in biodiversity. These pursuits operate through:

- (1) Multidisciplinary postgraduate training: MSc/MPhil/PhD courses are run at DICE in Conservation Biology, Tourism and Conservation, Ethnobotany, and Biodiversity Management.
- (2) Research and Implementation: Activities are centred on two main research groups in Ecology and Conservation Biology and Biodiversity Management and Sustainable Conservation. Regions of operation have encompassed over 50 countries in Europe, Africa, Madagascar, SE Asia and Latin America.

Achievements

- (1) DICE is the only Institution in the British University sector that specialises in interdisciplinary postgraduate research and training in biodiversity management.
- (2) Over 200 students from some 50 countries worldwide trained to Masters level or above in Conservation Sciences.
- (3) Over £3.5 million raised for biodiversity projects from NGO's, charities, government agencies, and research councils.
- (4) The establishment of two endowed Chairs, one in Biodiversity Management, one in Biodiversity Law.

7. Has your organisation received funding under the Initiative before? If so, please give details.

Biodiversity Training (1993-96); In/ex situ training Tanzania, Zaire, Madagascar (1996-98); Biodiversity ManagementTraining, Peru (1996-98); Chameleons, Conservation and Local Communities, Madagascar (1996-99); Wildlife and People: Conflict and Conservation in Masai Mara (1998-2000): Community-based conservation and ecotourism in the Masai Mara (2000-2003)

8. Which overseas institutions, if any, will be involved in the project? Please explain the responsibilities of these institutions.

Universidad Autonoma Metropolitana, Unidad Xochimilco (UAM): this University will partner DICE and host the project in Mexico.

Centro de Investigaciones Biologicas y Acuicolas de Cuemanco (CIBAC): now under the control of UAM, in addition to being the base for all research activities, CIBAC will also provide an information and education centre for tourists brought here by the boatmen (trajineros).

Comision Nacional para el Conocimiento y Uso de la Biodiversidad (CONABIO - the National Commission for the Knowledge and use of Biodiversity): The government agency that participates in the Conference of the Parties of the Convention on Biological Diversity.

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Secretaria de Medio Ambiente, Recursos Naturales y Pesca (SEMARNAT - the Mexican environmental secretariat with responsibilities for meeting commitments to the Convention on Biological Diversity).

PROJECT DETAILS

9. Define the purpose (main objective) of the project in line with the logical framework.

To assist Mexico in the development of a sustainable programme to conserve the axolotl and other endemic fauna and flora of Xochimilco through the promotion of nature tourism.
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10. Is this a new project or the continuation of an existing one?

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11. What is the evidence for a demand or need for the work? How is the project related to conservation priorities in the host country(ies)? How would the project assist the host country with its obligations under the Biodiversity Convention?

How was the work identified?

A formal approach to DICE was made from UAM/CIBAC to assist them with developing a recovery programme for the axolotl, an endangered amphibian endemic to Xochimilco. CIBAC has been awarded 4 ha of land adjacent to Lake Xochimilco by the Mexico City authorities to develop a research and education centre for the axolotl and other endemic fauna and flora of Lake Xochimilco. This proposal arises from a workplan agreed between Dr Griffiths, Dr Bride (DICE) and Dr Graue (CIBAC) following exploratory visits to Mexico in June 2000 and July 2001, during which a Memorandum of Understanding between the two Universities was established.

How is the project related to conservation priorities in the host country?

As one of the top 12 countries in the world for biodiversity, Mexico created the Comisión Nacional para el Conocimiento y Uso de la Biodiversidad (CONABIO) in 1992. This organisation is charged with implementing the Convention on Biological Diversity. CONABIO has included Xochimilco in its list of 'Priority Hydrological Regions', under the categories AU ('Area in Use') and AA ('Threatened Area'). In addition Xochimilco was declared a 'Patrimony of Humanity' by UNESCO in 1988, and part of the system was declared a 'zone of ecological conservation' in 1992. The latter declaration encompasses sustainable development activities - including tourism - within Xochimilco. The axolotl - an amphibian species endemic to Xochimilco - is subject to special protection by the NOM-ECOL 059-94 and is included in CITES Appendix II. Situated in a poor area with high unemployment yet easily accessible from the centre of Mexico City, there is an excellent opportunity for using the axolotl as a flagship species for boosting the local economy at Xochimilco, especially through the development and implementation of a strategy to encourage and service wildlife tourism.

How will the project assist the host country meet its obligations under the Biodiversity Convention?

The project will assist CONABIO to protect and sustainably manage one of its priority conservation areas (see above), with particular regard to the following Articles:

Article 1 (the project is concerned with the conservation of biological diversity); Article 5 (the project involves cooperation between two Contracting Parties); Article 6b (the project incorporates an integrated cross-sectorial approach); Article 7 (the project establishes a monitoring programme for a biodiversity component which requires urgent conservation measures); Articles 8 and 9 (the project includes both in-situ and ex-situ conservation measures for a critically endangered species); Article 10 (the project is oriented to the long-term sustainable use of the axolotl and its habitat); Article 11 (the project will establish social and economic measures for the conservation of the axolotl and the lake Xochimilco area); Article 12 (the project involves a substantial research and training component); Article 13 (the project involves a substantial public education and awareness component); Article 16 (the project will involve the transfer of captive breeding technology between Contracting Parties); Article 17 (at the core of the project is an exchange of information); Article 18 (the project involves an exchange of scientific and technical information); Article 20 (the project involves financial contributions from both the Contracting Parties involved).

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12. In what ways can this project be considered a Darwin project? How does the project relate to the Darwin principles? How would the project be advertised as a Darwin project and in what ways would the Darwin name and logo be used?

The project aims to help increase incomes and provide an economic incentive for the conservation of the axolotl and the wider Xochimilco ecosystem in a poor suburb of Mexico City - the largest city in the world and the capital of a country supporting one of the world's highest levels of biodiversity. It will address poverty-related issues in the vicinity of Lake Xochimilco through a community outreach programme that will educate and train local boatmen (trajineros) and artisans, and generate income for stakeholders through nature tourism and employment. It will not be able to proceed without Darwin funding. It includes components of all the Darwin project areas, i.e. institutional capacity building, training, research, work to implement the Biodiversity Convention, and environmental education. The project will be collaborative, combining British expertise from DICE and Universita Autonoma Mexicana (Unidad Xochimilco) and the award of a Darwin grant will catalyse further resources from the North of England Zoological Society (Chester Zoo) and the British Herpetological Society. Equally, the award of the grant will precipitate contributions in kind from UAM (i.e. accommodation and facilities) for visiting British researchers. It also has the support of the IUCN/SSC Declining Amphibian Population Task Force (DAPTF). It will employ up to date scientific methodologies and protocols in the establishment of the recovery programme and will follow best practice in nature tourism. The project is distinctive in many ways; it integrates biological and social science approaches to conservation, whilst using an amphibian as a flagship species and focusing on a wildlife site in one of the world's major urban environments; it focuses on a species and a habitat which have had an important historical, cultural and symbolic significance for the Mexican people since Aztec times; it focuses on the problem of amphibian declines - a highly topical subject in biodiversity conservation but one in which the Darwin Initiative has not yet been deeply involved. The project will involve British organisations committing staff expertise and resources at low cost, and will therefore provide excellent value for money. The project would be advertised as a Darwin project through a dedicated web-site, and the interpretative displays and associated activities to be provided at CIBAC and Chester Zoo. The Darwin logo will feature prominently in these areas, and will appear on the boats (trajineras), T-shirts, postcards, factsheets and booklets, interpretation displays, souvenirs and educational materials which are produced.

13. Set out the proposed timetable for the work, including the programme's measurable outputs using the attached list of output measures.

PROJECT OUTF	PUTS	
Year/Month (starting April)	Output Number (see standard output measures)	Description (include numbers of people involved, numbers of publications printed or produced and days/weeks where applicable
2002/Aug.	4A - 16 UAM students 8 - 2 DICE - (1 week x2)	2 training workshops - amphibian ecology and conservation techniques, conservation education assessement and community appraisal.
2003/June	8 - 1 DICE - (1 week)	Supervision of Darwin Fellow MSc project at CIBAC.
2003/Sept.	2	1 Darwin Fellow graduates with MSc Tourism & Conservation, DICE.
2003/Oct.	6A - 4 Mexican unemployed 3 days	Training in souvenir production by staff and students of UAM Design and Technology Department.
2003/2004/Nov.	4B - 12 Mexican trajineros (1 week x2)	2 guide-training workshops run by MSc Darwin Fellow - each with 6 trajineros.
2004/Jan.	8 - 2 DICE - (1 week)	Interim project evaluation
2004/Aug. 2005	11B	2 journal articles submitted.
2004/Oct.	12A	Project web-site - developed by Project Officer passed to CIBAC.
2004/Dec.	8 - 3 DICE, 14A - 3 DICE + 15 Mexicans + 5 (1 week).	Project evaluation, international seminar on the 'Conservation of the axolotl and the endemic flora and fauna of Xochimilco' at CIBAC.
	9	Release of axolotl Species/Habitat Action Plan.
2002/June/April 2003/Nov 2004/April	15A/B 18A/B 15A/B 15C 18A/B 15A/B 15C 18A/B	Publicity of project + launch of axolotl/Xochimilco heritage guide. Publicity of interpretative displays at CIBAC + trajinero workshop. Publicity of trajinero guided nature tours.
2002-2004	other dissemination outputs	Fact-sheet, guide booklet, interpretative displays, posters, souvenirs.

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Key Milestones	
Year/Month	Description
(starting April)	(include travel dates, drafts and other processes that support the delivery of outputs)
2002/May	Appointment of DI Project Officer, convening of steering committee consisting of representatives from CONABIO plus 2 staff members from DICE, 2 staff members from UAM.
2002/June	Announcement of competition for MSc Darwin Fellowship to UAM students; finalisation of workplan.
2002/July	Announcement of successful applicant for the Darwin Fellowship to undertake MSc Tourism & Conservation programme at DICE.
2002/August	DICE staff deliver 2 training workshops at CIBAC - Amphibian ecology and conservation techniques; Conservation education assessment and community appraisal (2 weeks in August). 4 UAM students subsequently selected to conduct conservation education assessment, community appraisal and preliminary market study.
	MSc Darwin Fellow arrives at DICE to commence MSc Tourism & Conservation programme.
2002/September	Darwin Fellow completes taught component of MSc Tourism & Conservation programme, and returns to
2003/April	CIBAC to commence 6 month project - design of a training programme for boatmen (trajineros) and UAM undergraduate students, and an investigation of benefit sharing mechanisms.
2003/April	UAM undergraduate students complete conservation education assessment, community appraisal and preliminary market study.
	Darwin Fellow submits MSc project dissertation and completes MSc programme. Initiation of training programme for trajineros.
2003/September	First production of souvenirs by Xochimilco unemployed artisans (to be sold by trajineros and CIBAC).
2003/Oct	Initiation of trajinero-guided 'ecotours' of Lake Xochimilco with major media publicity.
2004/MarAug.	Evaluation of ecotours by steering group and UAM students.
2004/AugSept.	Second round of trajinero guide-training.
2004/Nov.	Completion of analysis of nature-tour assessment data, international seminar - "Conservation of the Axolotl and Xochmilco" - project evaluation.
2004/Dec.	Publication of final report on project.
2005/March	

14. Do you know of any other individual/organisation carrying out similar work? Give the details of the work, explaining the similarities and differences.

No other organisations	

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15. Will the project include training and development? Please indicate how many trainees will be involved, from which countries and what will be the criteria for selection. How will you measure the effectiveness of the training and will those trained then be able to train others? Where appropriate give the length of any training course.

Training Activity	Dates	Who will participate, how many will participate and for how long?
MSc Tourism and Conservation, DICE	Sept./02-Sept./03	1 Mexican student - 1 year (selected by steering group after interviews). Graduate will train other UAM students (see below).
2 training workshops - a) amphibian ecology and conservation techniques b) conservation education assessement/community appraisal	Aug./2002	In each - 8 UAM Mexican undergraduate students + 1 DICE staff + 1 UAM staff + the MSc Darwin Fellow 1 week each (assessed by output production - 4 students subsequently selected for community/market study).
2 guide-training workshops run by the MSc Darwin Fellow	Nov./2003/2004	In each - 6 trajineros + 1 UAM staff + the MSc Darwin Fellow - 1 week each (assessed by evaluation of subsequent nature tours).
Training in souvenir production	Oct./2003	4 Mexican local unemployed artisans + 2 UAM staff + ~4 UAM undergraduates - 3 days (assessed by sales of souvenirs).

16. How will trainee outcomes/destinations be monitored after the end of the training?

Trajinero guides will be monitored by MSc Darwin Fellow + project staff and evaluated in Dec. 2004. Data will be collected concerning number of nature tours provided and the increase in revenue accruing. Thereafter they will be monitored by UAM/CIBAC staff.

Local unemployed artisans trained in souvenir production will be monitored by staff from UAM + CIBAC.

UAM student trainees will be monitored by Project staff during project, thereafter by UAM/CIBAC via the UAM offices and University alumni organisation.

17. How is the work of the project expected to continue after the end of grant period? A clear exit strategy must be included.

It is expected that further commercial sponsorship will be attracted to allow the trajinero guide training to continue, and that there will be a rotating fund for reinvestment of resources by the trajineros that are trained.

It is anticipated that a scholarship will be obtained via the RARE Center for a Mexican student to undertake their Diploma in Conservation Education (validated by the University of Kent at Canterbury) and to conduct a Conservation Education Campaign (~\$70,000)

CIBAC will continue to be developed as an information and education centre for tourists, and along with the guide-trajineros, will continue to act as an outlet for locally produced souvenirs. As demand grows, new products will be designed and new local unemployed people trained in their production.

Research in axolotl conservation biology and Xochimilco ecology will continue at CIBAC and the website (handed over at the end of the project) will be regularly updated.

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MONITORING AND EVALUATION

18. Describe how progress on the project would be monitored and evaluated in terms of achieving its aims and objectives, both during the lifetime of the project and at its conclusion. How would you ensure that it achieves value for money? What arrangements will be made for disseminating results? If applicable, how would you seek the views of clients/customers?

The project infrastructure, comprising a DI Fellow - Project Officer - Project Leader - Project Steering Group has been designed to ensure a rigorous and efficient line management system. This will ensure prompt and comprehensive feedback to the DI at the required intervals.

If the project is funded by DI, value for money will be ensured through the release of matching resources from DICE, CIBAC/UAM, Chester Zoo and the British Herpetological Society. Moreover, funds will be in place for an independent financial audit of the project.

Dissemination of results of the project will be made through both the scientific and popular media. These will include at least two scientific journal articles and an international seminar 'Conservation of the Axolotl and the Endemic Fauna of Xochimilco'; factsheets and information booklets, press releases, local and national TV and radio items in both Mexico and the UK.

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Interview-based surveys of trajinero guides and Xochimilco tourists will be conducted by the trained UAM students. All workshop trainees will be required to complete workshop evaluation forms.

Logical framework. Please enter the details of your project onto the matrix using the note at Annex B of the Guidance Note.

Project summary	Measurable indicators	Means of verification	Important assumptions
Goal To assist countries rich in biodiversity but poor in resources with the conservation of biological diversity and implementation of the Biodiversity Convention		Feedback from CONABIO - and SEMARNAT - the Mexican authorities charged with implementing the Biodiversity Convention.	Continued support from Mexican GO's and NGO's.
Purpose To assist Mexico in the development of a sustainable programme to conserve the axolotl and other endemic fauna and flora of Xochimilco through the promotion of nature tourism.	Existence of a sustainable programme to conserve the axolotl and other endemic fauna and flora of Xochimilco.	Evidence for the successful execution of the component activities of the programme in line with the proposed output schedule and milestones.	Communication pathways between partners are effectively maintained.
Outputs a) Axolotl/Xochimilco ecology data; b) Mexicans trained: MSc Tourism & Conservation; amphibian ecology; conservation education assessment and community appraisal; c) Trajineros trained as nature guides; unemployed artisans trained-souvenir production; d) Species/ Habitat Action Plan; e) Conservation education	a) Existence of database on axolotl population biology/ Xochimilco wildlife; b)c) Number of students, trajineros and unemployed artisans successfully trained; + data from assessments and appraisals; d) Existence of SAP e) Increased public awareness of axolotl/Xochimilco, involvment in CIBAC	a) Data and findings on project website/in journal articles; b)c) Use of students to gather data, use of trajinero guides; production/purchases of souvenirs; d) SAP available on website and hard copy; e) Interview/questionnaire surveys, detailed records of partiicpation	All data are collected in a rigorous manner to ensure high integrity.
Activities a) Collaborative work collating data on the ecology and conservation of axolotls and the Xochimilco system; b) MSc training at DICE; in- situ workshop-based training programmes for trajineros, unemployed artisans and Mexican students; c) design, production and distribution of a range of educational materials and commercial products.	a) Writing of joint publications b) Assessment and examination of coursework and dissertation; records of workshops c) Existence of a range of educational and commercial products and of financial benefits from their distribution accruing.	a) Existence of publications in peer reviewed journals b) Successful graduation from the MSc programme; records of workshops c) Detailed records of numbers of products and their sales and distribution.	Personnel are adequately qualified and motivated and will remain committed to the programme until its completion.

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FINANCIAL ASPECTS

20. Please state gross expenditure on the programme of work. Please work by financial year (defined as April to March) using 2001/2002 prices throughout - do not include any allowance for assumed future inflation. Indicate salary costs on Table A and total costs on Table B. For programmes of less than 3 years' duration, enter 'nil' as appropriate for future years. It would be helpful to highlight (by bold, italics or underlining) the areas for which Darwin funding is requested. Show Darwin funded items separately; do not include with other funding

Table A Salary costs

	2002/2003 (£)	2003/2004 (£)	2004/2005 (£)
List each member of the team and their role in the project	(2)	(2)	(2)
a) UK			
Dr R.A.Griffiths (Project Co-ordinator-Britain)			
Dr Helen Newing (MSc Tourism &Conservation convenor)			
Dr I. Bride (Project Officer)			
Mrs J. England (Financial Management)			
S. McKeown (Chester Zoo, Education)			
M. Crumpler (Chester Zoo, Aquarist)			
b) collaborators Dr Virginia Graue (Project Co-ordinator-Mexico)			
Dr Juan Chavez (land use and planning)			
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MSc Francisco Romero (conservation/participatory research)			
MSc Rubén Sánchez (herpetology and conservation)			
MSc Alejandro Meléndez (ornithology and conservation)			
Mexican undergraduate students (x 4)			
Mexican MSc student/DI Fellow			
Mexican MSc studen/D1 Penow			
Show the % of time each person would spend on this work			
Dr R.A.Griffiths (Project Co-ordinator)			
Dr Helen Newing (Tourism & Conservation adviser)			
Dr I. Bride (Project Officer)			
Mrs J. England (Financial Management)			
S. McKeown (Chester Zoo, Education)			
M. Crumpler (Chester Zoo, Aquarist)			
Dr Virginia Graue (Project Co-ordinator-Mexico)			
Dr Juan Chavez (land use and planning)			
MSc Francisco Romero (conservation/participatory research)			
MSc Rubén Sánchez (herpetology and conservation)			
MSc Alejandro Meléndez (ornithology and conservation)			
Biol. Gilberto Binquist (ecology and planning)			
Mexican undergraduate students (x 4)			
Mexican MSc Darwin Fellow			
Total cost of salaries			

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Table B Other costs (Please highlight or underline the areas for which Darwin funding is requested)

	2002/2003	2003/2004	2004/2005
Rents, rates, heating, lighting, cleaning or overheads			
Office costs eg. postage, telephone and stationery			
Travel and subsistence			
Printing			
Conferences, seminars etc			
Capital items/equipment (please specify)			
Other (please specify)			
Sub-total			
Cost of salaries (from previous table)			
Total of spend*			

^{*} Grants may be limited to a percentage of the total cost of the project. The Department will look for balancing income from non-public sources (eg. private sector funding, subscriptions, donations, fees).

21. How is your organisation currently funded?

HEFC, grants, endowments, contracts, and student fee income.	
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22. Please give details of resources you have sought from the host country partner institution(s) for this project. Include donations in kind eg. accommodation with these costed where possible. Indicate any income or donations which are confirmed.

UAM have agreed to provide accommodation at their University house in Mexico City for British researchers engaged on the project (60 nights @ c. £20 per night = £1200 over three years).

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Chester Zoo: £	E2500 for technical to	raining/transfer.			
British Airway	s Assisting Conserv	ation/DICE: At least one flig	ht from London-Mexico	City for the project:	£550.
British Herpeto	ological Society: £40	00 worth of journals, publica	tions and project suppor	t material.	
It is anticipated	d that once running,	the project will attract spons	orship from local busine	sses.	
		d income or donations f requested under the Da		re these may be c	osted) and indicat
Table C Darwi	n funding reques	<u>t</u>		1	T
			2002/2003	2003/2004	2004/2005
Income to be	ededucted		£21 731	£19 606	£20 389
Amount of Da	arwin Initiative fu	nding requested	£36 607	£29 379	£30 346
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25. Please ch Office will ne competition i	neck the box if you ed to be aware of in the host countr	should they want to pu	olicise the project's s	_	rwin <u> </u>
25. Please ch Office will ne competition i CERTIFICAT On behalf of th	neck the box if you led to be aware of in the host countr TION the trustees/comp	should they want to pu y any <i>(delete as appropria</i>	olicise the project's s	success in the Da	tion & Ecology
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23. Please state all other sources of income and amounts to be put towards the costs of the project (including

Please return completed form to the Department for Environment, Food and Rural Affairs, 4/A2 Ashdown House, 123 Victoria Street London SW1E 6DE.

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Department for Environment, Food and Rural Affairs August 2001